

RCE/2828
&
JFW



REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

(INCLUDING FILING FEE AND/OR PETITION FOR
EXTENSION OF TIME FEE)

*Subsection (b) of 35 U.S.C. §132, effective May 29, 2000
provides for continued examination of a utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA)*

To: Commissioner for Patents Box RCE PO Box 1450 Alexandria, VA 22313-1450		Attorney Docket No.:837.1963	
First Named Inventor	Yutaka KAI, et al.		
Application No.	09/804,250	Group Art Unit	2828
Filing Date	March 13, 2001	Examiner	Jackson, Cornelius H.
CPA Filing Date		Confirmation No	9136
Title of Invention	LIGHT SOURCE DEVICE AND WAVELENGTH CONTROL DEVICE THEREFOR		

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

1.


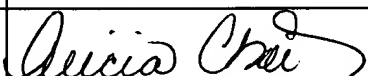
Submission required under 37 C.F.R. §1.114 (Box a or b must be completed)

- a. ☐ Previously submitted
 - i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on ____
(Any unentered amendment(s) referred to above will be entered).
 - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on ____
 - iii. ☐ Other
- b. ☒ Enclosed
 - i. ☒ Amendment After Final Rejection
 - ii. ☐ Affidavit(s)/Declaration(s)
 - iii. ☐ Information Disclosure Statement (IDS)
 - iv. ☐ Other

2.

Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §103(c) for a period of ____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required).
- b. ☐ Other

	BASIC FEE	\$	770.00
Since an Official Action set an <u>original</u> due date of __, petition is hereby made for an extension of time to cover the date this RCE is filed, for which the requisite fee is enclosed (1 month (\$110); 2 months (\$420); 3 months (\$950); 4 months (\$1,480); 5 months (\$2,010));			
Suspension Fee (\$130.00)			
Total of above Calculations =		\$	770.00
Reduction by 50% for filing by small entity (Note 37 C.F.R. 1.9, 1.27, 1.28).			
TOTAL FEES DUE =		\$	770.00
4. <input type="checkbox"/> Small entity status: a. <input type="checkbox"/> Verified Statement Claiming Small Entity Status. b. <input type="checkbox"/> A Verified Statement Claiming Small Entity Status was previously filed and such status is still proper and desired. c. <input type="checkbox"/> is no longer claimed. 5. <input type="checkbox"/> Other:			
6. METHOD OF PAYMENT			
<input checked="" type="checkbox"/> A check in the amount of \$ <u>\$770.00</u> is enclosed. <input type="checkbox"/> Charge "TOTAL FEES DUE" to Deposit Account No. 19-3935. (A duplicate copy of this form is enclosed.)			
7. GENERAL AUTHORIZATION			
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 C.F.R. 1.16 (filing fees) or 37 C.F.R. 1.17 (processing fees) during the prosecution of this application and of any related application(s) claiming benefit hereof pursuant to 35 U.S.C. §120 to maintain pendency hereof and of any such related application to: Deposit Account No. 19-3935.			
8. CORRESPONDENCE ADDRESS			
STAAS & HALSEY LLP  21171 PATENT TRADEMARK OFFICE			
9. SIGNATURE OF ATTORNEY OR AGENT REQUIRED			
NAME	Alicia M. Choi	REGISTRATION NO.	46,621
SIGNATURE		DATE	06/25/2004



S&H Form: (10/03)

REPLY/AMENDMENT FEE TRANSMITTAL	Attorney Docket No.	837.1963	
	Application Number	09/804,250	
	Filing Date	March 13, 2001	
	First Named Inventor	Yutaka KAI, et al.	
	Group Art Unit	2828	
AMOUNT ENCLOSED	0.00	Examiner Name	Jackson, Cornelius H.

FEE CALCULATION (fees effective 10/01/03)

CLAIMS AS AMENDED	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Rate	Calculations
TOTAL CLAIMS	24	- 24 =	0	X \$ 18.00 =	\$ 0.00
INDEPENDENT CLAIMS	7	- 7 =	0	X \$ 86.00 =	0.00

Since an Official Action set an original due date of June 25, 2004, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110); 2 months (\$420); 3 months (\$950); 4 months (\$1,480); 5

If Notice of Appeal is enclosed, add (\$330.00)

If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$110.00)

Information Disclosure Statement (Rule 1.17(p)) (\$180.00)

Total of above Calculations =

\$ 0.00

Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)

TOTAL FEES DUE =

\$ 0.00

(1) If entry (1) is less than entry (2), entry (3) is "0".

(2) If entry (2) is less than 20, change entry (2) to "20".

(4) If entry (4) is less than entry (5), entry (6) is "0".

(5) If entry (5) is less than 3, change entry (5) to "3".

METHOD OF PAYMENT

- ☐ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:
- Deposit Account No. 19-3935
- Deposit Account Name STAAS & HALSEY LLP
- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Alicia M. Choi	Reg. No.	46,621
Signature		Date	June 25, 2004



RESPONSE UNDER 37 CFR 1.116
EXPEDITED PROCEDURE
EXAMINING GROUP 2828
Docket No.: 837.1963

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Yutaka KAI, et al.

Serial No. 09/804,250

Group Art Unit: 2828

Confirmation No. 9136

Filed: March 13, 2001

Examiner: Jackson, Cornelius H.

For: LIGHT SOURCE DEVICE AND WAVELENGTH CONTROL DEVICE THEREFOR

AMENDMENT AFTER FINAL REJECTION

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Attention: **BOX AF**

Sir:

This is in response to the Office Action mailed March 25, 2004, and having a period for response set to expire on June 25, 2004.

Reconsideration of the claims is respectfully requested. The following remarks are respectfully submitted.